

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

TELEPHONE: (714) 557-3800

INTELLECTUAL PROPERTY LAW  
3200 PARK CENTER DRIVE, SUITE 750  
COSTA MESA, CA 92626

FACSIMILE: (714) 557-3347

## **FACSIMILE COVER SHEET**

Deliver to: Frank Duong, USPTO Art Group: 2664  
Facsimile No.: 703 872-9314 Date: November 26, 2002  
From: Julio Loza, Reg. No. 47,758  
Our Docket No.: 82771P270C2 Number of pages 19, including this sheet.  
Application No.: 09/271,011 Filing Date: 3/17/1999  
Docket Due Date(s): 11/30/2002

**Enclosed are the following documents:**

- Amendment: Response
  - Appeal Brief (in triplicate) ( \_\_\_\_ pgs)
  - Application: \_\_\_\_\_  
                          ( \_\_\_\_ pgs) w/cover & abstract)
  - Assignment & Cover Sheet ( \_\_\_\_ pgs)
  - Certificate of Facsimile
  - Continued Prosecution Application (CPA)
  - Declaration & POA ( \_\_\_\_ pgs)
  - Drawings: \_\_\_\_ sheets, \_\_\_\_ figures
  - Extension of Time: one (1) month
  - Fee Transmittal (in duplicate)
  - IDS & PTO/SB/08 (        pgs)
  - Other Change of Correspondence Address
  - Issue Fee Transmittal
  - Notice of Appeal
  - Petition for: \_\_\_\_\_
  - Request for Continued Examination (RCE)
  - Reply Brief ( \_\_\_\_ pgs)
  - Request & Certification Under 35 USC 122(b)(2)(B)(i)
  - Request to Rescind Previous Nonpublication Request
  - Response to Notice of Missing Parts & Formalities Letter
  - Response to Written Opinion ( \_\_\_\_ pgs)
  - Terminal Disclaimer
  - Transmittal of Publication Fee Due
  - Transmittal Letter

**CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.84)**

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on 11/26/2002.

Pat Sullivan 11/26/2002

**Confidentiality Note:** The documents accompanying this facsimile transmission contain information from the law firm of Blakely, Sokoloff, Taylor & Zafman which is confidential or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution or use of the contents of this faxed information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

If you do not receive all the pages, or if there is any difficulty in receiving, please call: (714) 557-3800 and ask for Pat Sullivan.

PTO/SB/21 (08-00)

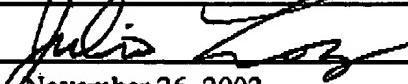
Approved for use through 10/31/2002. OMB 0551-0031

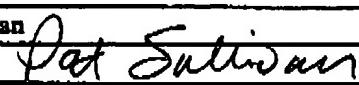
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application No.	09/271,011
		Filing Date	March 17, 1999
		First Named Inventor	Mohan V. Kalkunte
		Group Art Unit	2664
		Examiner Name	Frank Duong
Total Number of Pages in This Submission	19	Attorney Docket Number	82771P270C2

ENCLOSURES (check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group	
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter	
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<div style="border: 1px solid black; padding: 5px;">Change of Correspondence Address; Facsimile Transmittal Sheet</div>	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund		
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application			
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53			
Remarks			

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Julio Loza, Reg. No. 47,758 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	November 26, 2002

CERTIFICATE OF MAILING/TRANSMISSION	
I hereby certify that this correspondence is being transmitted via facsimile under 37 CFR §1.8 as:	
November 26, 2002	
Typed or printed name	Pat Sullivan
Signature	
Date	November 26, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27.TOTAL AMOUNT OF PAYMENT (\$)  
**110.00**

Complete if Known	
Application Number	09/271,011
Filing Date	March 17, 1999
First Named Inventor	Mohan V. Kalkunte
Examiner Name	Frank Duong
Group/Art Unit	2664
Attorney Docket No.	82771P270C2

## METHOD OF PAYMENT (check one)

 Check     Credit card     Money Order     Other     None  
 Deposit Account
Deposit Account Number **02-2666**Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below     Credit any overpayments  
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	
1001 740	2001 370	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 510	2003 255	Plant filing fee	
1004 740	2004 370	Reissue filing fee	
1005 180	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
20	20*	= 0	X 18.00 =	\$0.00
4	4*	= 0	X 84.00 =	\$0.00

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	
1202 18	2202 9	Claims in excess of 20	
1201 84	2201 43	Independent claims in excess of 3	
1203 280	2203 140	Multiple Dependent claim, if not paid	
1204 84	2204 42	**Reissues independent claims over original patent	
1205 18	2205 9	**Reissues claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)			0.00

\*For number previously paid, if greater, for Reissues, see below

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
2053 130	2053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920	1804 920	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1262 400	2252 200	Extension for reply within second month	
1263 820	2263 460	Extension for reply within third month	
1254 1,440	2254 720	Extension for reply within fourth month	
1266 1,860	2266 980	Extension for reply within fifth month	
1404 920	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 65	Petition to revive - unavoidable	
1453 1,260	2463 640	Petition to revive - unintentional	
1501 1,280	2501 640	Utility issue fee (or reissue)	
1502 400	2502 280	Design issue fee	
1503 820	2503 310	Plant issue fee	
1460 180	2460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1808 160	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 740	1809 970	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 740	2810 370	For each additional invention to be examined (37 CFR § 1.129(b))	
1801 740	2801 370	Request for Continued Examination (RCE)	
1802 800	1802 800	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			110.00

SUBMITTED BY **Julio Loza** Complete (if applicable)

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
<b>Julio Loza</b>	47,758	(714) 557-3800

Signature **[Signature]** Date **11/26/02**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 12 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.